

WP3 – EMR Trading Disputes & Non- Compliances

EMRS Working Practice

Public

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1. Change Amendment Record

Version	Date	Description
1.0	20 October 2015	Go-Live Version
2.0	4 August 2017	Document transfer to new template and cosmetic changes
3.0	3 July 2018	Annual review
4.0	14 October 2019	BSCP11 and BSCP27 references and links updated

2. Introduction

When the Balancing and Settlement Code Company (BSCCo) becomes aware of either a Trading Dispute or non-compliance from a Technical Assurance Audit (TAA) they must inform the Contracts for Difference (CFD) Settlement Services Provider (SSP) and the Capacity Market (CM) SSP.

EMR Settlement Ltd (EMRS) has been appointed by Low Carbon Contracts Company (LCCC) to perform the role of CFD SSP and by Electricity Settlements Company (ESC) to the role of CM SSP.

LCCC and ESC need to know about Trading Disputes and non-compliances as they are required to ensure Metered Volumes used in EMR Settlement are accurate and enforce metering compliance obligations.

2.1 Scope and Purpose of the Working Practice

When EMRS is informed of a Trading Dispute or metering non-compliance by BSCCo they are required to check the relevant Metering System Identifier (MSID) to see if it is subject to a CFD or a Capacity Agreement. Should this be the case EMRS is required to inform LCCC or ESC, as applicable.

2.2 Main Users of this Document and Responsibilities

Role	Responsibilities
Balancing and Settlement Code Company (BSCCo)	To notify EMRS of any site that has a Trading Dispute or BSCP27 Non-compliance currently affecting Settlement data.
Electricity Settlements Company (ESC)	To receive non-compliance details on a Capacity Providers Metering System or notification that a Capacity Provider is involved in a Trading Dispute.
EMR Settlements Ltd (EMRS)	To check if notification from BSCCo is for a site involved in EMR and to notify Low Carbon Contracts Company or Electricity Settlements Company if it is.
Low Carbon Contracts Company (LCCC)	To receive non-compliance details on a CFD Generators Metering System or notification that a CFD Generator is involved in a Trading Dispute.

2.3 Associated Documents

This working practice should be read in conjunction with the following documents:

Document
Electricity Capacity Regulations 2014 ¹ and all subsequent amendments
Capacity Market Rules 2014 ² and all subsequent amendments
CFD Standard Terms and Conditions and all subsequent amendments ³
CFD Agreement and all subsequent amendments ³
BSCP11 – Trading Disputes ⁴
BSCP 27 – Technical Assurance of Half Hourly Metering Systems for Settlement Purposes ⁵

¹ <http://www.legislation.gov.uk/uksi/2014/2043/contents/made>

² <https://www.gov.uk/government/publications/capacity-market-rules>

³ <https://www.gov.uk/government/publications/contracts-for-difference-standard-terms-and-conditions>

⁴ <https://www.elexon.co.uk/csd/bscp11-trading-disputes/>

⁵ <https://www.elexon.co.uk/csd/bscp27-technical-assurance-of-half-hourly-metering-systems-for-settlement-purposes/>

3. Required Supplier Information

3.1 TAA Non-Compliance

Under the Balancing and Settlement Code (BSC) arrangements, BSCP27⁵ details the procedure to conduct onsite audits of Half Hourly Metering Systems, as part of the BSC Performance Assurance Framework. Where non-compliance is deemed to be currently affecting the quality of data for Settlement purposes, i.e. an NC or Category 1 non-compliance, the non-compliance will be reported by BSCCo to EMRS. The definition of categories is in Table 1.

Table 1: Categorisation of non-compliances.

Non-Compliance	Definition
NC	A non-compliance has been identified through the Consumption Data Comparison Check that is deemed to be currently affecting the quality of data for Settlement purposes.
Category 1	A non-compliance has been identified which is deemed to be currently affecting the quality of data for Settlement purposes.

BSCCo will provide EMRS with the MSID relating to the applicable Supplier Volume Allocation (SVA) or Central Volume Allocation (CVA) Metering System and the nature of the non-compliance. EMRS will check whether the reported MSID is involved in EMR and report it to ESC/LCCC as applicable.

If there are instances where EMR MSIDs are involved, the relevant metering and data non-compliance processes will be followed, as Metered Volumes for CM or CFD will be impacted. In CM this is defined in the Rules. In CFD it is defined in the CFD Agreement. This process is managed by ESC/LCCC, as applicable.

The responsibility to investigate and resolve the non-compliance rests with the Capacity Provider or the CFD Generator. If the Capacity Provider or the CFD Generator does not agree with the metering non-compliance they can appeal the decision.

3.2 Trading Dispute

Under the Balancing and Settlement Code (BSC) arrangements, BSCP11⁴ details the procedure to deal with the raising, resolution and rectification of all Trading Disputes. Where a Trading Dispute has been raised BSCCo will notify EMRS.

The Disputes Secretary will send EMRS the BSCP11/02 form; this will include the MSID of the impacted site.

EMRS will check whether the reported MSID is involved in EMR and report it to ESC/LCCC as applicable.

If there are instances where EMR MSIDs are involved, the relevant metering and data non-compliance processes will be followed, as Metered Volumes for CM or CFD will be impacted. In CM this is defined in the Rules. In CFD it is defined in the CFD Agreement. This process is managed by ESC and LCCC, as applicable.

4. Interface and Timetable Information

4.1 Notification of Non-Compliance or a Trading Dispute from BSCCo

The process to be followed after a notification has been received from BSCCo about a Trading Dispute or a TAA visit that has identified an NC or Category 1 non-compliance on a Metering System.

Ref	Rule or Condition	When	Action	From	To	Input Information Required	Method
4.1.1		Following receipt of BSCP11/01 form; or non-compliance notification and within 1 WD	Notify EMRS of NC or Category 1 non-compliance from TAA (BSCP27 3.6.1A) or from TAA Monthly report Send Trading Dispute Raising Form (BSCP11/01) to EMRS	BSCCo	EMRS	Log number; acknowledgement (Form BSCP11/01) Details of the non-compliance including the MSID relating to the applicable SVA or CVA Metering System and the nature of the non-compliance. Send to contact@emrsettlement.co.uk	Email
4.1.2		Following 4.1.1 and within 2 WD	Acknowledge receipt of 4.1.1	EMRS	BSCCo		Email
4.1.3		Following 4.1.1 and within 2 WDs	Check MSID from 4.1.1 against list of current Capacity Providers and CFD Generators MSIDs	EMRS		MSID / MPAN from 4.1.1	Internal Process
4.1.4		Following 4.1.3 and within 1 WD	Where an MSID impacts EMR, send notification of impacted Capacity Provider or CFD Generator to ESC/LCCC, as applicable END PROCESS	EMRS	LCCC ESC	CMU ID or CFD Generator ID, details of meters impacted and nature of the non-compliance	Email

5. Contact Information

For all queries please contact:

Contact Organisation	Contact
Settlement Services Provider (EMR Settlement Ltd)	Telephone: 020 7380 4333 Email: contact@emrsettlement.co.uk

6. Acronyms and Definitions

A list of acronyms and definitions can be found in the 'Acronyms and Definition' document on the EMRS website⁶.

⁶ <https://emrsettlement.co.uk/publications/>

