

Capacity Market Pre-Delivery Year Event 2026- Q&A

No	Question	Response
1.	What is the most common failure reason within Metering Tests?	<p>There are a number of recurring issues we've identified in submitted Metering Statements that can cause Metering Tests to fail. The most common reason for failure relates to Section N, Commissioning requirements, usually due to issues with CSV files formatting and data validation.</p> <p>To support Capacity Providers in successfully completing Metering Tests, we have consolidated common issues with Metering Statements in Section 6 of G20 – Capacity Market Metering Statement.</p>
2. Capa	Could you please provide an overview of the penalty process for Capacity Market Units (CMUs) failing to provide capacity during a System Stress Event?	<p>There are financial penalties imposed on Capacity Providers who fail to meet their Capacity Obligations during a System Stress Event.</p> <p>Penalties are related to a Capacity Provider's Capacity Payments and are capped at 100% of a Capacity Provider's annual Capacity Payment with respect to a Capacity Market Unit (CMU), and at 200% of the CMU's Capacity Payment for any individual month. The penalties collected are used to fund the Over Delivery Payment.</p> <p>More information is available in Section 11 of G17 – Capacity Provider Payments and step 10 of the Stakeholder Support for Capacity Providers webpage on the EMRS website.</p>

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3.	What are the dispute pathways between different entities involved in delivery and settlement if things go wrong?	<p>Dispute pathways depend on the nature of the issue and the entity responsible for the relevant process:</p> <ul style="list-style-type: none"> • Settlement Body (EMRS / ESC): Disputes relating to Metering or Settlement activities are managed through defined procedures, including the <i>EMR Metering Disputes Resolution Procedure</i> (WP133). Further detail is available within the EMRS documentation. • Delivery Body (NESO): Disputes relating to agreement management decisions (e.g. termination of a CMU) are handled through the Delivery Body's formal appeals process, as set out in guidance available in the Knowledge Base. <p>If you are unsure about a decision or outcome arising from an agreement management, operational, or settlement process, you should engage directly with the relevant Delivery Partner:</p> <ul style="list-style-type: none"> • NESO Delivery Body: emr@neso.energy • EMR Settlement (EMRS): contact@emrsettlement.co.uk • Electricity Settlements Company (ESC): info@electricitysettlementscompany.uk
4.	Is the Financial Commitment Milestone (FCM) only required for certain agreement/technology types or for all Capacity Providers?	<p>The FCM is not required for all Capacity Agreements. It is required for Prospective CMUs, specifically New Build Generating, Refurbishing Generating and Interconnector CMUs, it covers all technology types.</p> <p>More information regarding the different rules for different CMUs is available via NESO's Capacity Market Knowledge Base:</p> <ul style="list-style-type: none"> • Agreement Management – Existing • Agreement Management - Refurbishing • Agreement Management – New Build • Agreement Management - DSR

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5.	Do you need to complete Substantial Completion Milestone (SCM) before engaging in Secondary Trade, and when does the window open to Secondary Trade?	<p>You must complete SCM before being able to trade away. The window for Secondary Trading opens shortly (10 days) after Auctions Results Day.</p> <p>More information on SCM and Secondary Trading is available in the NESO Agreement Management - Trading and Transfers Guidance webpage.</p>
6.	If you do a Secondary Trade that takes effect part way through a month, are the monthly capacity provider payments pro-rated?	Yes, your Capacity Provider Payment is pro-rated.
7.	To trade less than 1MW (e.g. 0.3MW), would this be achievable by buying 1.3MW and then selling 1MW? This seems like an odd work around to the 1MW limit.	The Capacity Market Rules prohibit trades that are below 1MW, if you were able to do the above within your headroom de-rated capacity, there would be no issues with it. Capacity Market Rules outline the minimum threshold for a trade to take place.
8.	As a new participant in the Domestic Demand Side Response (DSR) world, what challenges and pitfalls should Capacity Providers expect and how can we overcome them?	The main challenge for Domestic DSR CMUs will be with bespoke or self-submission assets. These would require a Metering Test which can be complicated for certain setups, so we recommend you reach out as soon as possible to initiate the process. There is a First Come First Served element for Domestic DSRs which we have detailed in G27 – First Come First Served Guidance for Domestic DSR CMUs , available on the EMRS website.
9.	Could you elaborate on the establishment of baseline data for DSR Satisfactory Performance Days (SPDs), how the data points are established and how overlap and bank holidays are handled?	<p>A DSR SPD functions the same as a DSR Test - the same day of the week as the SPD date of the last 6 weeks is used in addition to the last 10 working or non-working days. Any days that are duplicated in either of these criteria are only used once. More information on the baseline methodology can be found in NESO's guidance.</p> <p>The guidance can be found on NESO page under Agreement Management - Demand Side Response (DSR), under the Demand Side Response (DSR) Test heading.</p>

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10.	Is there a view that we should require a document similar to Exhibit D for DSR units to establish asset ownership?	<p>No. As the issue of duplicate Meter Point Administration Numbers (MPANs) occurs primarily in domestic DSR assets, we don't believe it would be proportional or feasible to require an Exhibit D to be submitted for each individual asset. Requiring end consumers who may not fully understand the Capacity Market beyond the agreement they have with a flexibility provider to complete additional administrative tasks may dissuade participation, ultimately threatening the viability of DSR capacity.</p> <p>Via Capacity Market Advisory Group (CMAG), they are seeking to develop a solution that provides assurance but is proportional to the amount of capacity provided by these assets.</p>
11.	When is First Come First Serve (FCFS) being finalised for the 2026/27 Delivery Year?	Initial FCFS results went out directly to impacted Capacity Providers via email on Thursday 7 May 2026, and the process will remain active until the start of the Delivery Year.
12.	Will DSR be captured in Mock System Stress Events? Aware it has previously been exempted.	We are still working on getting their inclusion into the Mock Stress Event Reporting and any update will be communicated once we have any further update on this.
13.	Could you consider running an actual Mock System Stress Event with mandatory participation?	<p>Thank you for your question regarding a full Mock System Stress Event. This is something ESC and EMRS would need to review together, including how it could best be designed and delivered.</p> <p>We recognise that this would provide Capacity Providers with valuable support for operational processes and help reduce the risk of unforeseen issues. In particular, we understand that activities such as the Volume Reallocation process could benefit from this type of assurance, helping to ensure Capacity Providers are able to respond effectively.</p>
14.	Are there any proactive tips to manage Suppliers so D0357 flows are done on time?	We recommend that you reach out to your Suppliers using the drafted email template we have now captured in Appendix 10 of WP195 – Capacity Market and CfD Metered Data .
15.	Is a letter of authority from our client always required when we ask a supplier to set up the D0357 flow?	Potentially this is Supplier specific and something that would need to be managed between the Supplier and Capacity Provider, rather than something we can help with.

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16.	In some cases of Class A License exempt supplies, we utilise Psuedo MPANs, would these CMUS be subject to a metering test?	As Pseudo MPANs are not able to submit data via D0357s data flows, the sites would need to be self-submission and as such complete a Metering Test. WP197 – Capacity Market Metering Test steps you through the Metering Test process.
17.	Is the Head End System used to confirm the template is correct for manual submissions or, an API that allows us to submit bespoke data on an automated basis?	The HES will provide an API that allows you to submit bespoke data automatically. Data formatting validation will also be performed as part of HES's data collection.
18.	Is/will Head End System (HES) be applicable to any bespoke metering set up?	Yes, please contact ESC (info@electricitysettlementscompany.uk) to discuss setup for the 2026/27 Delivery Year.
19.	Would bespoke metering data need to be uploaded weekly (or on a periodic basis) on Secure File Transfer Protocol (SFTP) during the Delivery Year?	Yes, we encourage Capacity Providers to ensure that their metered volumes are submitted to EMRS or via the HES on a daily or weekly basis and monitor that their metered data is being received by EMRS. You can download your metered data report in the My EMRS Portal . Supporting information is available in step 5 of the Capacity Provider Stakeholder Support webpage on the EMRS website.
20.	Is there a template csv that can be downloaded and used to submit self-service data?	Yes, the defined format for the Self-Submission Metering Pathway CSV file can be found in Appendix 2 of WP195 – Capacity Market and CfD Metered Data . Please also refer to Appendix 1 for the CSV file requirements and Appendices 3 to 7 for CSV file examples.
21.	Is there an API to be able to download metered data from My EMRS (or any plans to implement one), to facilitate the recommended weekly checks?	Thank you for this question and this currently is not on our roadmap, though we'll include this great idea in our opportunities to be explored.

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22.	It would be great if My EMRS has functionality to pull all the previous Metering info and certificates for a cloned CMU directly. It'll save a lot of time for Capacity Providers.	<p>The EMRS Metering Team can support you to ensure the previous Metering Test certificates can be applied to cloned CMUs. Though at present this doesn't happen automatically, though definitely something we'll ensure is captured in our future opportunities for My EMRS.</p> <p>More information on cloned CMUs is available in Step 3 of the Capacity Provider Stakeholder Support webpage on the EMRS website.</p>
23.	Is there any view currently to extending the Independent Emission Verification list, as the current four providers were well and truly booked out last year?	<p>If Capacity Providers experience availability issues with Independent Emission Verification (IEV), please highlight this within NESO Delivery Body. If this continues, DESNZ will also be keen to be made aware of the situation,</p> <p>For background information, the Capacity Market, Independent Emission Verifiers (IEVs) are required to verify the Fossil Fuel Emissions Declarations (FFEDs) of Capacity Market Units (CMUs) to ensure compliance with emissions limits. This process ensures that electricity generation capacity meets strict environmental standards, ensuring "clean origin" supply.</p>
24.	If an MPAN has been migrated to Market-wide Half Hourly Settlement (MHHS), should we submit the DUoS Tariff ID instead as it will no longer have a Line Loss Factor (LLF)?	<p>Generally migrated MPANs are assigned DUoS Tariff IDs instead of LLFCs. From an ISD perspective, both DUoS Tariff IDs and LLFCs are required to be set up regardless of migration status. If a Capacity Provider needs further information as a result of the MHHS programme, please do submit a Service Now case so Elexon can provide a full response on this.</p> <p>Within WP26 – Capacity Provider Metering Configuration, Section 3 Metering Configuration Bulk Upload Template does note within Section 3.2 Self-Submission (Meter Tested) Pathway that the data required to be submitted includes - LLFC ID , if you are able to obtain it (on MHHS migrated MPANs this will appear as DUOS Tariff ID on ECOES).</p>

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25.	Could the Elexon Digital Code include the Capacity Market Regulations as well as the Rules?	Elexon (CMAG) will focus on working with Ofgem to incorporate the Capacity Market Rules into the Digital Code first. DESNZ acknowledged this idea and would work collaboratively with CMAG in the future to support this if desired via Capacity Providers.